

Pecyn Dogfennau Cyhoeddus

Cabinet

Man Cyfarfod
**Siambwr y Cyngor - Neuadd y Sir,
Llandrindod, Powys**

Dyddiad y Cyfarfod
Dydd Mawrth, 20 Chwefror 2018

Amser y Cyfarfod
10.30 am



Neuadd Y Sir
Llandrindod
Powys
LD1 5LG

I gael rhagor o wybodaeth cysylltwch â
Stephen Boyd
01597 826374
steve.boyd@powys.gov.uk

14/02/18

Mae croeso i'r rhai sy'n cymryd rhan ddefnyddio'r Gymraeg. Os hoffech chi siarad Cymraeg yn y cyfarfod, gofynnwn i chi roi gwybod i ni erbyn hanner dydd ddau ddiwrnod cyn y cyfarfod

AGENDA

1.	YMDDIHEURIADAU
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I dderbyn ymddiheuriadau am absenoldeb.

2.	COFNODION
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I awdurdodi'r Cadeirydd i lofnodi cofnodion y cyfarfod diwethaf fel cofnod cywir.
(Tudalennau 1 - 10)

3.	DATGANIADAU O DDIDDORDEB
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I dderbyn unrhyw ddatganiadau o ddiddordeb gan Aelodau mewn perthynas ag eitemau i'w hystyried ar yr agenda.

4.	RHAGLEN GYFALAF YSGOLION YR 21AIN GANRIF, YSGOL UWCHRADD GWERNYFED
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I ystyried adroddiad gan y Cynghorydd Myfanwy Alexander, Aelod Cabinet ar faterion Addysg.
(Tudalennau 11 - 32)

5.	AROLWG O'R FFORMIWLA ARIANNU YSGOLION
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I ystyried adroddiad gan y Cynghorydd, M Alexander, Aelod Portffolio Addysg, y Cynghorydd A. Davies, Aelod Portffolio Cyllid.
(Tudalennau 33 - 54)

6.	CODI PRISIAU GWASANAETHAU YN Y GYMUNED
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I ystyried adroddiad gan y Cynghorydd Stephen Hayes, Aelod Portffolio, Gwasanaethau Oedolion
(Tudalennau 55 - 66)

7.	GOHEBIAETH
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I dderbyn unrhyw ohebiaeth sydd ym marn yr Arweinydd yn ddigon o frys i haeddu ystyriaeth.

8.	PENDERFYNIADAU DIRPRWYEDIG A WNAED ERS Y CYFARFOD DIWETHAF
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I nodi'r penderfyniadau dirprwyedig a gymerwyd ers y cyfarfod diwethaf.
(Tudalennau 67 - 68)

9.	BLAENRAGLEN WAITH
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I ystyried blaenraglen waith y Cabinet.
(Tudalennau 69 - 74)

10.	EITEMAU EITHRIEDIG
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Mae'r Swyddog Monitro wedi penderfynu bod Categori 3, Rheolau Mynediad at Wybodaeth, yn berthnasol i'r eitemau canlynol. Ei farn o ran prawf lles y cyhoedd (wedi ystyried darpariaethau Rheol 14.8, Rheolau Mynediad at Wybodaeth y Cyngor), oedd y byddai gwneud y wybodaeth hon yn gyhoeddus yn datgelu gwybodaeth yn ymwneud â materion ariannol neu fusnes unrhyw unigolyn penodol (gan gynnwys yr awdurdod sy'n cadw'r wybodaeth honno).

Roedd y ffactorau hyn yn ei farn ef yn bwysicach na diddordeb y cyhoedd wrth ddatgelu'r wybodaeth. Gofynnir i Aelodau ystyried y ffactorau hyn wrth benderfynu ar brawf lles y cyhoedd, a dylent benderfynu hyn wrth iddynt ystyried eithrio'r cyhoedd o'r rhan hon o'r cyfarfod.

11.	GWERTHU SAFLEOEDD DATBLYGU TAI YN OAK VIEW, SARN A FIR HOUSE, YR YSTOG
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I ystyried adroddiad gan y Cynghorydd Jonathan Wilkinson, Aelod Portffolio Tai a Gwasanaethau Cefn Gwlad a'r Cynghorydd Phyl Davies, Aelod Portffolio Eiddo a Gwastraff.

(Tudalennau 75 - 82)

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

**MINUTES OF A MEETING OF THE CABINET HELD AT COUNCIL CHAMBER -
COUNTY HALL, LLANDRINDOD WELLS, POWYS ON TUESDAY, 30 JANUARY
2018**

PRESENT

County Councillor M R Harris (Chair)

County Councillors A W Davies, MC Alexander, P Davies, J Evans, L Fitzpatrick,
S M Hayes, R Powell and M Weale

In attendance for part of the meeting: County Councillors J Jones, J Morris, G Price, K Roberts-Jones, D Selby and G Williams.

1.	APOLOGIES
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Apologies for absence were received from County Councillor Jonathan Wilkinson.

2.	MINUTES
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The Leader was authorised to sign the minutes of the meetings held on 9th and 16th January 2018 as correct records.

3.	DECLARATIONS OF INTEREST
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There were no declarations of interest reported.

4.	BUDGET FOR 2018-19, MEDIUM TERM FINANCIAL STRATEGY 2018 AND CAPITAL PROGRAMME FOR 2018-2023
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Cabinet considered the budget for 2018 - 2019, the medium term financial strategy for 2018 – 2023 and the capital programme for 2018 – 2023 (copy filed with the signed minutes).

The Portfolio Holder for Finance advised that the proposal provided a balanced budget for 2018/19 and included further reductions in expenditure through efficiencies and some changes to service provision. He advised that there was a 0.4% decrease in the financial settlement from the Welsh Government from last year meaning a reduction in funding of £0.679m. Service and other pressures of £22.350m, together with the need for investment in Children's and Adult Services had added to the financial challenge the Council faced. As a result, in addition to the significant savings originally identified within the existing MTFs, other sources of funding had been drawn upon to limit the impact on services in the short term. Some reserves would also be used and the Section 151 Officer advised that reserves were being kept at an appropriate level.

The second year of the plan represented a significant challenge for the Council. It was clear that the Council in its current form was no longer affordable and

significant transformational change was required to deliver a council which could operate within the funding available to it. A fundamental review of how the Council delivered its services and what it could continue to deliver was underway and would be developed over the next six months in order to maintain a balanced budget for the remaining years of the plan. The Acting Chief Executive confirmed that Management Team had already started this work.

Cabinet welcomed the independent assessment of the proposed budget and the level of reserves carried out by CIPFA that had concluded there were appropriate procedures in place to set a robust budget and adequate reserves.

RECOMMENDED to Council:	Reason for Recommendation:
1. That the Medium Term Financial Strategy for 2018 to 2023 as set out in Appendix 1 to the report be agreed in principle.	To aid business planning and development of the budget over a three year period
2. That the proposed Revenue Budget for 2018/19 shown in the Financial Resource Model in Appendix 2 is accepted and recommended to full Council on the 22nd February 2018.	Statutory Requirement
3. That the Fees and Charges proposed within the Fees and Charges Register are accepted and recommended to Full Council on the 22nd February 2018. (Appendix 4 and 5)	To comply with Powys County Council Income Policy
4. The proposed Capital Strategy for 2018/19 shown in Appendix 6 is accepted and recommended to Full Council on 22nd February 2018.	Statutory Requirement
5. That a Council Tax increase of 5% is included in the budget that goes to full council on the 22th February 2018.	There is a Statutory Requirement to set Council Tax but the level is a matter for local determination.
6. The authorised borrowing limit for 2018/19 as required under section 3(1) of the Local Government Act 2003 be approved at £400.0m as set out in section 10 of the report.	Statutory Requirement
7. The Prudential Indicators for 2018/19 are approved as set out in section 10 of the report and Appendix 7.	Statutory Requirement
8. That revised Medium Term	To ensure the Council operates

Financial Strategy is brought forward by the end of May 2018.	within its means in future years.
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5. FINANCIAL OVERVIEW AND FORECAST AS AT 31ST DECEMBER 2017
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Cabinet considered the financial outturn for the period ended 31 December 2017. The report included a business case for the draw-down of £321k in 2017/18 and £253k in 2018/19 from the Adult Social Care Reserve. The Portfolio Holder for Finance noted that there had been little change in the amount of savings delivered since the last report.

RESOLVED	Reason for Decision:
<ol style="list-style-type: none"> 1. The contents of this report are noted by Cabinet; and 2. Cabinet supports appropriate action by services to curtail or reduce the reported forecasted service deficits. 3. To approve the virements detailed in para 6.1.2 4. To approve use of the ASC reserve as requested at 12.3 and further explained in Appendix D 	<p>To monitor the council's financial performance and ensure that spending remains within approved limits and that the 3% minimum general fund reserve is maintained.</p>

6. CAPITAL PROGRAMME UPDATE FOR THE PERIOD TO 31ST DECEMBER 2017

Cabinet considered the Capital Programme update for the period ended 31 December 2017 and requests for budget virements in respect of:

Schools and Inclusion - The procurement of the new School at Ysgol Bro Hyddgen has been delayed, which will impact on the delivery timescales for the overall project of the replacement Primary and Secondary School for Ysgol Bro Dyfi. This virement rolls forward £1.091m from 2017/18 to 2018/19.

Regeneration, Property & Commissioning - The refurbishment of Ladywell House is to take place in 2018/19. This virement is to roll £1m into 2018/19.

RESOLVED	Reason for Decision:
<ol style="list-style-type: none"> 1. The contents of this report are noted by Cabinet; and 2. The Capital virements set out above be approved, and those over £500k be submitted to full council for approval. 	<p>To outline the capital budget position as at 31st December. To ensure appropriate virements are carried out.</p>

7.	CODE OF PRACTICE ON ETHICAL PROCUREMENT IN THE SUPPLY CHAIN
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Cabinet considered a proposal for the Council to sign up to the Welsh Government Code of Practice on Ethical Employment in Supply Chains (CPEESC). The code was designed to ensure that workers in public sector supply chains are employed ethically and in compliance with both the letter and spirit of UK, EU, and international laws and covered issues such as

- Modern Slavery and human rights abuses;
- Blacklisting;
- False self-employment;
- Unfair use of umbrella schemes and zero hours contracts; and
- Paying the Living Wage.

It was confirmed that local businesses contracting with the Council would be required to sign up to the code. Officers would work with Business Wales to make the paperwork as simple as possible.

RESOLVED	Reason for Decision:
The Council adopts and implements the Welsh Government's Code of Practice on Ethical Employment in Supply Chains (CPEESC) as set out in Appendix 1 to the report	A voluntary requirement from Welsh Government requires all organisation in receipt of public fund to sign up to the code by 31st March 2018 . The code is in accordance the requirements of the Well Being Act 2015.

8.	TREASURY MANAGEMENT QTR 3 REPORT
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Cabinet considered the Treasury Management report for the 3rd quarter. The Portfolio Holder for Finance advised that as at 31 December 2017 the Council had no cash invested as it was instead used to support the capital programme.

RESOLVED	Reason for Decision:
That the Treasury Management Quarterly Report be received.	To ensure Cabinet remains informed about current Treasury Management performance.

County Councillor Aled Davies left the meeting at 11.37.

9.	SETTING OF COUNCIL HOUSE RENTS
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Cabinet considered Council Housing Rents, Garage Rents and all property and tenancy related service charges. The proposal was that with effect from April 2018 the rent increase for the majority of HRA tenancies (2,119 properties) was 4.5%, that for a further 991 homes the increase was greater than 4.5% but less

than 4.5% + £2.00 per week and that for a further 2,232 homes the increase would be 4.5% plus £2.00 a week (6.4%). Members asked for a review of the level of garage and garage plots rents to be undertaken to compare levels with the commercial sector. Members also asked about support for tenants in arrears and were advised that a significant proportion of officer time was spent assisting tenants in arrears. The Head of Housing confirmed that the homelessness strategy was being reviewed and would be brought to Cabinet for consideration in the autumn.

RESOLVED	Reason for Decision:
<p>1. The rent increase for 2018-19, as set out in paragraph 2.6 of the report is agreed.</p> <p>2. The proposed service charge regime for 2018-19, as set out in Table 2 of the report is agreed.</p> <p>3. The proposed HRA garage rent and garage plot rents for 2018-19, as set out in Table 3, is agreed, however an urgent review should be undertaken to assess levels of garage rental within the context of rental costs of equivalent space in the commercial sector.</p> <p>4. The proposed weekly occupancy charge and service charge for a plot on council run Gypsy & Traveller sites for 2018-19, as set out in Table 4 is agreed.</p> <p>5. The weekly occupancy charge for temporary homelessness accommodation remains at its current level, until the conclusion of the Homelessness Review.</p> <p>6. Other housing related charges are increased by 4.5% for 2018/19.</p>	<p>To maintain a viable Housing Service and Business Plan and remain compliant with legislation (Part IV Housing (Wales) Act 2014)</p>

10. Q2 ADULT SERVICES SAFEGUARDING REPORT
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Cabinet considered the safeguarding report for Adult Services for the 2nd quarter. The Portfolio Holder for Adult Services advised that he expected the way in which safeguarding was reported would change in light of the CIW inspection. He welcomed the letter from the Office of the Public Guardian advising that an assurance visit to inspect the Council's management of its deputyship cases had found the service provided by the deputyship team to be excellent and that the team was well managed, effective and competent.

RESOLVED	Reason for Decision:
In accordance with its safeguarding	Safeguarding is everyone's

<p>responsibilities, that Cabinet accepts the safeguarding update.</p>	<p>business and this report provides assurance to Cabinet that effective work on important safeguarding matters is underway both locally and regionally.</p>
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<p>11.</p>	<p>PUTTING IN PLACE A CORPORATE SAFEGUARDING POLICY AND A CORPORATE SAFEGUARDING GROUP</p>
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Cabinet considered proposals to establish a Corporate Safeguarding Group and its terms of reference. It was emphasised that safeguarding was a whole Council issue and that the remit of the group would be wider than Children’s and Adult Social Care. In view of this it was suggested that the Portfolio Holder for HR, ICT and Communications should be added to the membership. The Acting Chief Executive would chair for the first six months and then it would be chaired by the Director of Social Services.

<p>RESOLVED</p>	<p>Reason for Decision:</p>
<p>1. That the Council adopts the draft Corporate Safeguarding Policy and Procedure.</p>	<p>1. To outline the steps that the Council will take to protect and safeguard children and adults at risk; and to provide guidance for all councillors, employees, volunteers and contracted service providers on what to do if they suspect a child or adult may be experiencing harm or is at risk of harm.</p>
<p>2. That the Council agrees to establish a Corporate Safeguarding Group, as set out in the draft terms of reference subject to the Portfolio Holder for HR, ICT and Communications being added to the membership.</p>	<p>2. To ensure effective corporate arrangements for safeguarding and protecting children and adults at risk across all Council services.</p>
<p>3. That relevant Scrutiny Committees and the Cabinet receive six-monthly reports from the Corporate Safeguarding Group.</p>	<p>3. To enable elected Members to exercise effective oversight of this critical area of work.</p>

12.	RESPONSE TO THE JOINT AUDIT - EDUCATION SCRUTINY WORKING GROUP REPORT
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Cabinet considered the response to the Joint Audit/Education Scrutiny Working Group report into the financial viability of schools.

The Portfolio Holder for Education welcomed the Scrutiny report. She advised that a clear understanding of the schools funding formula and the cost of delivering the curriculum was urgently needed and that work to develop the funding formula was underway. She told Cabinet that 15 schools had unlicensed deficits. Officers were supporting these schools to remove all 15 deficits as a matter of urgency. Cabinet had recognised the pressures on schools and were recommending an additional £1m in the budget plus £250k for dual stream schools. The Portfolio Holder thanked those governing bodies who had developed their curriculums to keep within budget. Two secondary schools that had yet to submit recovery plans and further intervention measures were being progressed.

The Director of Education advised Cabinet that every school would be expected to meet the 1 May deadline for submitting a budget plan or action would be taken.

The Chair of Audit Committee said that he would take the report back to the working group and report back to Cabinet if necessary.

RESOLVED:	Reason for Decision
That content of this report are noted and further updates are regularly presented to demonstrate ongoing commitment to bringing schools budgets back into balance.	To comply with the Authority's scheme for the financing of schools

13.	CORPORATE LEADERSHIP GOVERNANCE IMPROVEMENT PLAN
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Cabinet considered the Corporate Leadership Governance Improvement Plan which had been developed to address the observations made by CSSIW regarding Powys Children's Services, on the Council's approach to leadership and governance. It aligned with the Council's Vision 2025 and contained a significant programme to ensure that the Council is a well-run, aspirational and high-performing organisation.

County Councillor David Selby presented the observations of the Scrutiny group explaining that observations had to be submitted by email due to a Council budget seminar being called when the group had been due to meet. He regretted that there had not been enough time to scrutinise such an important document. Whilst appreciating that the plan had been produced in response to the CSSIW inspection of Children's Services, Scrutiny members felt that the plan focused too much on Children's Services and not the Council as a whole. It was not clear to

the Scrutiny members how they would be involved in monitoring progress and they doubted that all of the target dates could be met. They also felt that the document contained too many acronyms and that it would have benefited from the inclusion of a glossary.

The Director of Education acknowledged the points made by Scrutiny and advised that some of their comments had already been incorporated in the latest draft of the plan. He explained that the Plan was a living document that would go through many iterations and that it would be subject to further scrutiny.

RESOLVED	Reason for Decision:
To approve the Corporate Leadership and Governance Plan as a living document subject to further scrutiny.	To continue to address the recommendations of CSSIW and to contribute to the making it Happen Programme: Vision 2025.

14. UPDATE FROM THE ANTI-POVERTY CHAMPION

County Councillor Joy Jones, the Council's Anti-Poverty Champion and the Business Continuity and Risk Management Officer gave a presentation on the work of the cross party anti-poverty group. The Group had visited Machynlleth and Knighton and had been impressed by the way community groups stepped in to provide essential support to people in need.

The Leader thanked Councillor Jones and the group for their work and suggested that it should be shared more widely with members, perhaps on a member development day.

15. DRAFT TRACC BOARD MINUTES

Cabinet received the draft minutes of the TraCC Board meeting held on 23 November 2017.

16. CORRESPONDENCE

There were no items of correspondence reported.

17. DELEGATED DECISIONS TAKEN SINCE THE LAST MEETING

Cabinet received details of delegated decisions taken since the last meeting.

18. FORWARD WORK PROGRAMME

Cabinet received details of the forward work programme. The Portfolio Holder advised that there would be a report on the reconfiguration of primary education in Dyffryn Banw coming to Cabinet on 13 March.

County Councillor M R Harris (Chair)

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

CYNGOR SIR POWYS COUNTY COUNCIL

CABINET EXECUTIVE
20 February 2018

REPORT AUTHOR: County Councillor Myfanwy Alexander
Portfolio Holder for Education and Welsh Language

SUBJECT: Gwernyfed High School 21st C Schools Capital Programme

REPORT FOR: Decision

1. Summary

- 1.1 The purpose of this report is to seek approval to increase the capital budget for Gwernyfed High School's 21st c Schools project by £500k, to a maximum of £7.2m and to take forward the remodelling of the school based on the specification laid out in Option B in this report.

Appendix 1 – cost estimate

2. Background

- 2.1 The authority is currently implementing a significant school capital investment programme as part of the Welsh Government's 21st C Schools Programme. The aim of the Programme is the:
- reduction of poor condition school buildings;
 - provision of the right number of places in the right places to serve local pupil demand by reducing surplus capacity, and addressing specific Welsh medium and Faith based provision needs;
 - reduction of running costs so as to maximise resources available to target improvements to learner outcomes;
 - promotion of sustainability through reducing recurrent costs, energy consumption and carbon emissions.
- 2.2 On 27th September 2015, Cabinet considered a report relating to proposals for the closure of Gwernyfed and Brecon High Schools and the establishment of a new secondary school on a new build campus to replace both schools, to be located in Brecon. However, having considered the consultation report that outlined the views of a significant number of stakeholders, Cabinet agreed to abandon the proposals for closure, and also agreed the following:

'To submit a revised Outline Business Case to Welsh Government's 21st C Schools Programme for capital investment in both campuses and to increase the budget for the Project by £8m financed by a Welsh Government capital grant and Prudential Borrowing. This will include a new

build 11 – 18 campus in Brecon and improvements to the Gwernyfed campus.'

- 2.3. In November 2016, Welsh Government approved the OBC and therefore agreed, in principle, to match fund the project to the value of £6.7m. Since then, officers have been working with the school to explore options for these improvements. As Gwernyfed High School is an additional project in Band A of the 21st Schools Programme, and was not part of the original costed Programme, the budget has been limited to £6.7m and only options for remodelling have been considered. The focus therefore, during the development of design options, was on identifying options that could provide the best value for Gwernyfed High School within the funding available, with the priority being to provide high quality teaching and learning spaces.

3. Issues with the condition of Gwernyfed High School

- 3.1 Gwernyfed High School is a secondary school with 440 pupils located at Three Cocks, Brecon. The main school building is a large Grade 2* listed Victorian Mansion House which was built in 1880 and has been used as a school since 1950. The building has 3 storeys, a basement and provides the school's administration facilities, 6th form provision, extensive storage areas and some of the teaching areas.
- 3.2 A sports hall building, comprising a 3-court hall with stage area, old changing rooms and storage, adjoins the Mansion House, built since 1970s. Additionally, a number of separate modern buildings are located on the site, providing the remainder of the teaching areas, drama/music rooms and dining facilities. The majority of the general classrooms and science laboratories are located in Teaching Block B. The school sits in extensive grounds including a formal listed garden, a ha-ha, multi-surface sport courts and playing fields.
- 3.3 Currently, some of the school buildings and facilities have a variety of issues which would require work in order to make them fit for purpose in the long term for a 21st century school:-

Mansion House -	has many issues including fabric failures, M&E work requirements, internal refurbishment requirements and the fact that the rooms within the building mostly do not provide the space standards which a 21 st century school requires.
Teaching Block B -	has issues including fabric failures, M&E work requirements and internal refurbishment requirements.
The Sports Hall -	has issues including M&E work requirements, internal refurbishment requirements and the fact that the hall does not provide the space standards which a 21 st century school requires.
Car park area -	there is limited car parking area due to bus/coach parking and turning requirements, unmarked parking bays and access requirements by adjacent properties.

3.4 The schools building condition¹ is poor, and has been classed as follows:

	Condition	Suitability	Sustainability
Gwernyfed HS	C	B/C	C

4. Options Considered

4.1 A working group was established, which included the following membership:

Headteacher – Gwernyfed High School
 Chair of Governors – Gwernyfed High School
 Vice Chair of Governors – Gwernyfed High School
 Business Manager – Gwernyfed High School
 Project Manager – Schools Service
 Project Manager – HOWPS

4.2 The main options considered were:

- Option A: Refurbishment only;
- Option B: Refurbishment and new build; and
- Option C: Wholesale school reconfiguration

4.3 A number of initial outline designs were developed within these three options, and a summary of the advantages, disadvantages and estimated costs can be seen below:

Option A – Refurbishment only

Total cost of Option A as investigated further = £6.5M

This option would see extensive refurbishment to teaching block B, general refurbishment of the existing sports hall and the mansion house buildings. The majority of the budget would be used for upgrading the fabric of the buildings.

In the mansion house, the external repair and refurbishment would be substantial. This would include repairs to the roof, repair or replacement of guttering and windows, brickwork and stonework repairs, chimney works, works to railings and some external decoration. A new entrance would be constructed with sliding doors.

¹ Building condition descriptors

Grade	Description
Grade A	Good. Performing as intended and operating efficiently
Grade B	Satisfactory. Performing as intended but exhibiting minor deterioration
Grade C	Poor. Exhibiting major defects and / or not operating as intended
Grade D	Bad. Life expired and / or serious risk of imminent failure

Teaching block B would have extensive refurbishment both internally and externally, and the sports hall would also be refurbished.

No works are proposed to be carried out to the drama/music block, or the dining hall, as these are newer buildings and in good condition. Furthermore, the existing car parking will remain in use and would have minimal upgrading works.

This is the preferred option within the available budget, and is supported by the governing body of Gwernyfed High School. The Beacons Project Board requested a detailed cost estimate for this option.

Advantages

- Significantly improves condition of teaching block (B) and the mansion house
- Provides a new reception area for the school
- Improves condition of some areas of the mansion house
- Affordable within the available budget
- Supported by the governing body
- Achievable within timescales

Disadvantages

- Value for money is questionable, as a significant proportion of the funding will be spent on improving a grade 2 listed building;
- Even with this investment, the condition of the school will remain as condition C because the mansion house is retained. This does not meet Welsh Government's criteria for 21st C Schools and therefore presents a risk that it may not be supported by Welsh Government;
- Whilst the option will significantly improve facilities in teaching block B and the sports hall, these will be below the requirements expected for 21st C Schools;
- Maintenance costs will continue to be high due to the need to maintain the mansion house;
- Significant risk of unknown costs with the mansion house, that may impact on the budget or may require additional funding to ensure that the work is completed. A contingency of 10% has been included within the cost estimate – advice from property colleagues indicate that this is far too low and that a contingency of 25% is usually applied to refurbishment of old buildings such as this.
- Applying a 25% contingency to this option means that it is over the available budget.

Option B – Refurbishment and new build

Total cost of Option B = £7.2 million

The preferred design within this option has an estimated cost of £7.2m – detailed costs are included in Appendix 1.

The main features include reorganising and upgrading the existing teaching block B and the construction of a new teaching block, to 21st C Schools

standards, and the relocation and construction of a new sports hall. There would also be work to upgrade access and car parking.

The design does not include any spend on the mansion house, which would enable the school to reduce its usage of the building and/or to consider removing it completely from school use.

Teaching block B would be extensively refurbished internally and externally.

A brand new high quality teaching block would also be constructed to replace general classrooms from teaching block B and other teaching areas that are found in the mansion house.

Advantages

- Provides a value for money solution by delivering 21st C Schools facilities, with the funding invested in improvements to teaching and learning environments;
- Provides a high quality teaching block which will complement the current teaching block (B),
- Provides a significant improvement to sports facilities
- Improves access and car parking, and safeguarding issues are addressed;
- The school would become a condition B school, therefore meeting the Welsh Government's criteria for 21st C Schools, and therefore meeting the requirements of match-funding;
- The school could reduce its use of the mansion house, thereby reducing maintenance costs
- Mansion house could potentially be utilised for other uses e.g. by the community, or could be a capital receipt for the authority to be reinvested in the schools capital programme;
- There is less risk to the funding, both in terms of Welsh Government support, and also by reducing the unknown risks associated with Option A.
- The option is achievable within timescales;
- Even though the options within this category are over budget, it would be possible to increase the budget by re-prioritising spend within Band A (2014 – 2019) of the 21st C Schools Programme, or by partially funding it from Band B of the 21st C Schools Programme, which begins in April 2019 until 2024.

Disadvantages

- The mansion house is considered to be a unique feature of Gwernyfed High School and there are strong community links with the building and site, and it may be unacceptable to the school/community to lose the mansion house from school use;
- If the authority want to dispose of the mansion house in order to maximise its value for sale, there would be additional capital costs required for associated work e.g. car parking. These costs would need to be funded from the Council's capital programme and are estimated to be approximately £1.2m;

- The cost of maintaining a grade 2 listed building could affect future use of the building, and may not be attractive to any potential users/buyers;
- The location of the mansion house may also not be attractive to any potential users/buyers as it will be next door to a secondary school, therefore confining potential usage in future;
- The options within this category are over-budget by £500k and additional funding must be found.

Option C – Wholesale school reconfiguration

Total cost of Option = £9.9 million

This option would see the complete reorganisation of school facilities by removal of the mansion house and sports hall from school use, the construction of a new teaching block and sports hall, the upgrading of the existing teaching block and the construction of new bus and car parking areas.

Advantages

- Provides a value for money solution by delivering 21st C Schools facilities, with the funding invested in improvements to teaching and learning environments;
- Provides a high quality teaching block which will complement the current teaching block (B),
- Provides new sports facilities
- Improves access and car parking, and safeguarding issues are addressed;
- The school would become a condition B school, therefore meeting the Welsh Government's criteria for 21st C Schools, and therefore meeting the requirements of match-funding;
- The school could reduce its use of the mansion house, thereby reducing maintenance costs
- Mansion house could potentially be utilised for other uses e.g. by the community, or could be a capital receipt for the authority to be reinvested in the schools capital programme;
- There is less risk to the funding, both in terms of Welsh Government support, and also by reducing the unknown risks associated with Option A.

Disadvantages

- The mansion house is considered to be a unique feature of Gwernyfed High School and there are strong community links with the building and site, and it may be unacceptable to the school/community to lose the mansion house from school use;
- If the authority want to dispose of the mansion house in order to maximise its value for sale, there may be additional capital costs required for associated work e.g. car parking. These costs would need to be funded from the Council's capital programme;

- The cost of maintaining a grade 2 listed building could affect future use of the building, and may not be attractive to any potential users/buyers;
- The location of the mansion house may also not be attractive to any potential users/buyers as it will be next door to a secondary school, therefore confining potential usage in future.
- The option is over budget, and would need to be funded from Band B of the 21st C Schools Programme, which starts from April 2019 – 2024, therefore reducing the amount available for those projects/schools already prioritised for this funding in Band B;
- Timescales would be affected as this project would need to be re-scheduled within the Band B programme schedule;

5. The proposal

5.1 It is recommended that the budget for this project is increased by £500k to £7.2m in order to deliver a refurbishment and new build option that will

- Improve the current teaching and learning facilities;
- Provide new high quality, 21st C schools standard, teaching and learning facilities
- Improve accessibility and safeguarding at the school;
- Improve the sports hall, which is utilised significantly by the school and local community groups.

6. Reasons for the proposal

6.1 Option A carries the most risk to the authority and the project in terms of securing match-funding from Welsh Government and from the unknown costs of refurbishing a grade 2 listed building. However, this option is achievable within the agreed budget, but any increase to the contingency level will take this option over budget.

6.2 Option C provides the best overall solution, but would require a significantly increased budget, which could only come from Band B of the 21st C Schools Programme. This will affect timescales, and impact on the priority projects already identified in Band B.

6.3 Option B, therefore, provides the best value for money solution and will deliver 21st C Schools facilities. Additional funding will be required in order to implement any of the designs within Option B, but the additional funding required is £500k, and can be funded either from Band A or B of the 21st C Schools Programme, therefore not affecting timescales. There is also potential for school use of the mansion house to cease which would lead to a capital receipt for the Authority to reinvest in schools and other public services.

7. Impact Assessment

7.1 Is an impact assessment required? No

7.2 If yes is it attached?

8. Corporate Improvement Plan

8.1 Plans for Powys Schools – Vision 2025

9. Local Member(s)

9.1 All local members

10. Other Front Line Services

Does the recommendation impact on other services run by the Council or on behalf of the Council? Yes

In order to take forward the refurbishment of Gwernyfed High School, it is necessary to seek support from a number of services, including schools service, HR, finance, legal, governor support, property, ICT and communications. Dependent on the nature of the change proposed, it may be necessary to seek additional support within these service areas from time to time – a resource plan has been developed.

11. Communications

Have Communications seen a copy of this report? Yes

Communications comment:

12. Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

12.1 Legal: The recommendations can be supported from a legal point of view

12.2 Finance – Finance – The Interim Professional Lead for Finance confirms that this scheme is part of the 21st century schools Band A programme totalling £89m, of which Welsh Government are funding £43.2m. There is some flexibility on the project because of the delays and possible redefining to other schemes, so the original budget of £6.7m can be increased by £500k and still managed within the existing financial envelope. The extra £500k is funded 50/50 between the council and Welsh Government.

Revenue costs from this proposal are unlikely to rise because of the improved energy efficiency for the new buildings. The change in floor area may impact on the delegated funding provided to the school and the continuing costs associated with the Mansion House will need to be considered, once identified these factors will be incorporated into the relevant service Financial Resource Model (FRM)

12.3 Corporate Property (if appropriate)

The Professional Lead for Strategic Property- supports this proposal as it provides the best value for money and does not include the risk of dealing with listed building

12.4 HR (if appropriate)

12.5 ICT (if appropriate)

13. Scrutiny

Has this report been scrutinised? No

If Yes what version or date of report has been scrutinised?

14. Statutory Officers

The Head of Financial Services (Acting Section 151 Officer) notes the comments made by Finance.

The Solicitor to the Council (Monitoring Officer) has commented as follows: "I note the legal comment and have nothing to add to the report".

15. Members' Interests

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
To increase the budget for Gwernyfed High School's capital project by £500k to £7.2m and take forward the remodelling of the school based on the specification laid out in Option B in this report.	To provide a value-for-money solution that is focused on improving teaching and learning and will deliver 21st C Schools facilities. This will also ensure that the project can go forward within the funding timescales.

Relevant Policy (ies):	Plans for Powys Schools – Vision 2025 & 21 st C Schools Programme Band B 2019 - 2024		
Within Policy:	Y	Within Budget:	Y

Relevant Local Member(s):	All local members
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Person(s) To Implement Decision:	Marianne Evans
Date By When Decision To Be Implemented:	20th February 2018

Contact Officer:	Joni Hughes
Tel:	01597 826721
Email:	joni.hughes@powys.gov.uk

Background Papers used to prepare Report:

Option A

The approximate costs of this option would be:-

Existing Mansion House

Total construction cost	2,640,000
Sundries and fees	<u>727,998</u>
Sub total	£ <u><u>3,367,998</u></u>

Existing Teaching Block B

Total construction cost	1,570,000
Sundries and fees	<u>238,521</u>
Sub total	£ <u><u>1,808,521</u></u>

Existing Sports Hall

Total construction cost	695,000
Sundries and fees	<u>120,193</u>
Sub total	£ <u><u>815,193</u></u>

Car park & external works

Total construction cost	100,000
Sundries and fees	<u>43,409</u>
Sub total	£ <u><u>143,409</u></u>

Total cost of Option A 6,135,120 10% contingency = 6,748,632 = £6.7 million (See Appendix A)

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

Appendix A

Existing Mansion House

Refurbishment works		850,000
External repairs - roof works	150,000	
External repairs - gutter works	100,000	
External repairs - window works	100,000	
External repairs - brickwork	50,000	
External repairs - stonework	50,000	
External repairs - chimneys	25,000	
External repairs - railings	25,000	
External repairs - decorations	50,000	
Internal - asbestos removal/works	100,000	
Internal - toilet refurb	50,000	
Internal - decorations/general refurb	150,000	
Scaffolding/access		150,000
Construction of new entrance/reception area		125,000
Demolition of 230m2 1950s addition		-
Construction of link walkway		-
Installation of new lifts		100,000
Statutory compliance works		25,000
M&E works		1,090,000
Boiler room upgrade	120,000	
BMS upgrade	50,000	
New pipework	80,000	
New heat emitters	20,000	
Ventilation	30,000	
Natural gas supply	125,000	
Hot/cold water (point of use)	15,000	
Power	250,000	
Load test	50,000	
Lighting	100,000	
Data	100,000	
IT live equipment (switches)	50,000	
Fire alarm	25,000	
Intruder alarm	20,000	
CCTV	20,000	
PVs	25,000	
Door access	10,000	
FFE		-
Temporary accommodation for 1 year		300,000
Total construction cost		2,640,000
Statutory fees		30,000
Fees - Inception		20,000
12.19% (subject to change)		321,816
Timecharge (10%)		32,182
Allowance for listed status of building (10% of construction cost)		264,000
M&E soft landings		10,000
SBEM		-
Surveys		50,000
Total		3,367,998

Please note:- Major risks that are unknown at this time and therefore specific costs are not included - substar possible structural alterations, Building Regulation standard upgrading of general fabric, CADW requirements

Existing Teaching Block B

Refurbishment 1,440m2 @ approx. £1,000/sq m		1,440,000
Alterations 100m2 @ approx. £500/sq m		50,000
Additional items		20,000
M&E works		20,000
External works		5,000
IT/telecoms		10,000
FFE		25,000
Total construction cost		1,570,000
Statutory fees		5,000
Fees - Inception		10,000
12.19% (subject to change)		191,383
Timecharge (10%)		19,138.30
M&E soft landings		3,000
SBEM		-
Surveys		10,000
		1,808,521

Ex Sports Hall

Refurbishment 235m2 @ approx. £1,000/sq m		235,000
Refurbishment 670m2 @ approx. £500/sq m		335,000
Additional items		-
Asbestos removal works		25,000
M&E works (included)		-
External works (no allowance)		-
IT/telecoms (included)		-
FFE		100,000
Total construction cost		695,000
Statutory fees		5,000
Fees - Inception		10,000
12.19% (subject to change)		84,721
Timecharge (10%)		8,472
M&E soft landings		2,000
SBEM		-
Surveys		10,000
		815,193

Car park & external works

Approx. highway costs		50,000
External works		25,000
External lighting		25,000
Total construction cost		100,000
Statutory fees		5,000
Fees - Inception		10,000
12.19% (subject to change)		12,190
Timecharge (10%)		1,219
Highways		10,000
Surveys		5,000
Total		143,409

Total Option A

6,135,120

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

Option B

The approximate costs of this option would be:-

Existing Mansion House

Total construction cost	-
Sundries and fees	-
Sub total	£ -

Existing Teaching Block B

Total construction cost	1,542,500
Sundries and fees	234,834
Sub total	£ 1,777,334

New Sports Hall

Total construction cost	1,221,000
Sundries and fees	200,724
Sub total	£ 1,421,724

New Teaching Block

Total construction cost	2,834,500
Sundries and fees	430,078
Sub total	£ 3,264,578

Car park & external works

Total construction cost	50,000
Sundries and fees	31,705
Sub total	£ 81,705

Total cost of Option B **6,545,340** 10% contingency = **7,199,874** = **£7.2 million** (See Appendix B)

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

Appendix B

Existing Mansion House

Refurbishment works	-
Total	-

Existing Teaching Block B

Refurbishment 1,440m ² @ approx. £1,000/sq m)	1,440,000
Alterations 95m ² @ approx. £500/sq m)	47,500
Additional items	20,000
M&E works	20,000
External works	5,000
IT/telecoms	10,000
FFE	-
Total construction cost	1,542,500
Statutory fees	5,000
Fees - Inception	10,000
12.19% (subject to change)	188,031
Timecharge (10%)	18,803
M&E soft landings	3,000
SBEM	-
Surveys	10,000
Total	1,777,334

New Sports Hall

New build 714m ² @ £1,500/sq m	1,071,000
Additional items	-
M&E works	25,000
External works	20,000
IT/telecoms	5,000
FFE	100,000
Total construction cost	1,221,000
Statutory fees	10,000
Fees - Inception	10,000
12.19% (subject to change)	148,840
Timecharge (10%)	14,884
M&E soft landings	2,000
SBEM	5,000
Surveys	10,000
Total	1,421,724

New Teaching Block

New build 1,300 m ² @ £2,000/m ²	2,600,000
M&E works	25,000
External works	25,000
IT/telecoms	19,500
FFE	165,000
Total construction cost	2,834,500
Statutory fees	£ 5,000
Fees - Inception	£ 10,000
12.19% (but subject to change)	345,526
Timecharge (10%)	34,553
M&E soft landings	5,000
SBEM	10,000
Surveys	£ 20,000
Total	3,264,578

Car park & external works

Approx. highway costs	25,000
External works	25,000
Total construction cost	50,000
Statutory fees	5,000
Fees - Inception	10,000
12.19% (subject to change)	6,095
Timecharge (10%)	610
Highways	5,000
Surveys	5,000
Total	81,705

Total Option B

6,545,340

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

Option C

The approximate costs of this option would be:-

Existing Mansion House

Total construction cost	-
Sundries and fees	-
Sub total	£ -

Existing Teaching Block B

Total construction cost	1,760,000
Sundries and fees	263,998
Sub total	£ 2,023,998

New Sports Hall

Total construction cost	1,400,000
Sundries and fees	224,726
Sub total	£ 1,624,726

New Technology Block

Total construction cost	3,450,230
Sundries and fees	525,687
Sub total	£ 3,975,917

Car park & external works

Total construction cost	1,100,000
Sundries and fees	247,499
Sub total	£ 1,347,499

Total cost of Option C **8,972,140** 10% contingency = **9,869,354** = **£9.9 million** (See Appendix C)

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

Appendix C

Existing Mansion House

Refurbishment works	-
Total	-

Existing Teaching Block B

Refurbishment 1,440m2 @ approx. £1,000/sq m)	1,440,000
Alterations 530m2 @ approx. £500/sq m)	265,000
Additional items	20,000
M&E works	20,000
External works	5,000
IT/telecoms	10,000
FFE	-
Total construction cost	1,760,000
Statutory fees	5,000
Fees - Inception	10,000
12.19% (subject to change)	214,544
Timecharge (10%)	21,454.40
M&E soft landings	3,000
SBEM	-
Surveys	10,000
Total	2,023,998

New Sports Hall

New build 755 m2 @ £1,500/m2	1,132,500
Rounded up	1,250,000
M&E works	25,000
External works	20,000
IT/telecoms	5,000
.FF&E	100,000
Total construction cost	1,400,000
Statutory fees	10,000
Fees - Inception	10,000
12.19% (subject to change)	170,660
Timecharge (10%)	17,066
M&E soft landings	2,000
SBEM	5,000
Surveys	10,000
Total	1,624,726

New Technology Block

New build 1,600 m2 @ £2,000/m2	3,200,000
M&E works	25,000
External works	25,000
IT/telecoms	24,000
FFE	176,230
Total construction cost	3,450,230
Statutory fees	£ 5,000
Fees - Inception	£ 10,000
12.19% (but subject to change)	427,897
Timecharge (10%)	42,790
M&E soft landings	5,000
SBEM	10,000
Surveys	£ 10,000
Total	3,975,917

Car park & external works

Approx. highway costs	650,000
MUGA pitch.	400,000
External works	50,000
Total construction cost	1,100,000
Statutory fees	20,000
Fees - Inception	10,000
12.19% (subject to change)	134,090
Timecharge (10%)	13,409
Highways	50,000
Surveys	20,000
Total	1,347,499

Total Option C **8,972,140**

Mae'r dudalen hon wedi'i gadael yn wag yn fwriadol

CYNGOR SIR POWYS COUNTY COUNCIL.

Cabinet
20th February 2018

REPORT AUTHOR: County Councillor M Alexander, Portfolio Holder for Education
County Councillor A Davies, Portfolio Holder for Finance

SUBJECT: Schools Funding Formula Review

REPORT FOR: Information

1. Summary

- 1.1 The schools fair funding formula is the method used to allocate the schools delegated budget to individual schools and is required by regulation.
- 1.2 In February 2017 Cabinet requested a fundamental review of the Schools funding formula due to concerns about the level of deficit occurring in some schools and the need to ensure all schools are able to deliver a minimum curriculum.

2. Background - Function of the Review

- 2.1 The aim of the review is to create a formula that funds schools in a clear, transparent, objective and measurable way. Schools have a perception that the formula is complicated and will have an input into reviewing the model so there is a full understanding of how the revised formula operates.
- 2.2 Reviewing the formula does not change the financial envelope that will be available, the allocation of the funding across schools and sectors may change. There will need to be a plan to manage expectation and potentially identify a growth requirement to meet the cost of the minimum curriculum.
- 2.3 Regulation states that 'it is desirable that the formula is simple, objective, measureable, predictable in effect and clearly expressed'.
- 2.4 This review builds on the work already started during the 2017/18 financial year, and utilise the externalise expertise to lead on the first stage of the review, utilising education and finance officers, with updates to the formula review group. This includes gathering information from other Local Authorities to provide challenge and explore concepts. An ERW finance group has been established to compare formula's methodologies and delegation rates across other authorities.
- 2.6 **Membership** - Membership of the group covers the key stakeholders, and the necessary people will be invited to monthly meetings:
 - Schools Finance Manager - Lead
 - 6 Secondary School Headteachers Representatives
 - 6 Primary School Headteachers Representatives
 - Special School Headteachers Representatives
 - Schools Service Officers
 - School Forum Representatives

- Financial Services Officers

2.7 **Reporting** -Regular updates on the progress of the review will be provided to Schools Forum, Schools Service Management Team and relevant Portfolio Holders by the Schools Finance Manager and will be tracked as part of the Schools Service Accountability Framework, in line with the attached project plan. Meetings and communication with other stakeholder forums including the Youth Forum will form part of the review processes.

2.8 **Outcomes and Decision Making** - A report for cabinet will be prepared for early autumn that details;

- The findings from the review
- The proposed changes to the formula
- The cost and impact of the changes that are being proposed
- The proposed consultation to be undertaken in line with the scheme

3. **Progress to date**

3.1 The group have met on 3 occasions during the late summer early autumn, once as a group across all sectors and once each as sector specific groups.

3.2 The work undertaken involved:-

- Discussing the principles that underpin the formula,
- The key cost drivers,
- Issues identified around the current elements of the formula,
- Limiting the formula to more lump sum elements,
- Identifying alternative ways of funding
- Further modelling of proposals to be taken forward.

3.3 The review group were keen to establish base levels of curriculum provision as the basis of the formula, this basis would then underpin the funding model.

3.4 The review group felt that once the basis of the formula was agreed and costed, then comparisons should be made to the funding envelope and decisions taken of how to balance to the funding available.

3.5 The review group require a clear and transparent formula that is accessible and understandable for all.

3.6 External expertise has been commissioned to work one to two days per week to lead on the first stage of this review, through until April 2018. She will, in part cover off the role of the Finance Manager, because this post is now vacant. Attached as Appendix C are slides that show the project outline, and have been circulated to key stakeholders.

4. **External Expertise**

4.1 The Council has drawn on some external expertise to assist us with our work. She has over twenty years' experience of local authority school finance leadership as well as work with the DfE and individual schools, provided us with a day of support on the 6th September. She has now agreed to support the formula review through until April 2018 when the first milestone concludes.

4.2 The visit in September was arranged to include the following key areas of interest:

- An external perspective of the formula review work, drawing on experience at a local and national level;
- Consideration of the data available to inform this work and ways to secure additional evidence;
- Specifically, exploration of the scope for development of a curriculum referenced funding model, particularly for secondary schools to provide both a reasonableness test for the formula and a tool for working with secondary schools to resolve budget shortfalls.

4.3 The Expert's observations and recommendations from the initial one day visit are set out in Appendix A

5. Proposal

5.1 The timetable attached in Appendix B is noted as the timetabled plan for undertaking the fundamental review of the formula for funding schools. The review should be completed, consulted upon in readiness for implementation of the agreed changes in allocating budgets to schools in 2019.

5.2 A more detailed plan listing weekly meetings through until April 2018 is being prepared.

6. Resources

6.1 Both Schools and Financial services will need to review their available resource requirements to identify leads who will undertake this piece of work through 2018.

6.2 Finance have brought in expertise to support the process through to April 2018.

7. Options Considered / Available

7.1 N/A

8. Preferred Choice and Reasons

8.1 To complete the fundamental review of the fair funding formula in line with cabinet's request in early 2017.

9. Impact Assessment

9.1 The approach taken through the review and subsequent consultation will result in an impact assessment being completed as part of the final stage of the review that is submitted to Cabinet in the autumn term 2018.

9.2 If yes is it attached? No

10. Local Member(s)

10.1 All local members are affected

11. Other Front Line Services

11.1 Does the recommendation impact on other services run by the Council or on behalf of the Council? Yes the review will include the review of traded services with schools and the provision of amended SLA's and services agreements from the start of the 2019-20 financial year.

12. Communications

12.1 Have Communications seen a copy of this report? Yes/**No**

Have they made a comment? If Yes insert here.

13. Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

13.1 Finance – This review is essential to ensure there is full sign up to the formula distribution methodology in the long term. The financial envelope will not change, so everyone must be aware that there will be winners and losers from the review.

13.1 Legal : The recommendation can be supported from a legal point of view

14. Scrutiny

Has this report been scrutinised? Yes / **No**

15. Statutory Officers

15.1 The Acting S151 Officer supports this review and approved the use of Finance budgets to cover the cost of an interim expert to ensure the initial milestone is completed to deadline in April.

15.2 The Solicitor to the Council (Monitoring Officer) has commented as follows: “I note the legal comment and have nothing to add to the report”.

16. Members’ Interests

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation: That cabinet note the contents of the report and the timetable that will ensure delivery of a revised funding formula in 2018 for implementation for the 2019-20 financial year.	Reason for Recommendation: To ensure a new formula is on place for 2019/20 budgets. To have an agreed and funded minimum curriculum provision in each of the three sectors,
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Relevant Policy (ies):			
Within Policy:	Y / N	Within Budget:	Y / N

Relevant Local Member(s):	All
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Person(s) To Implement Decision:	Schools and Finance
Date By When Decision To Be Implemented:	1/2/19

Contact Officer: Anne Phillips Tel: ext 6341 Email: anne.phillips1@powys.gov.uk
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Appendix A - Expert Observations

A fundamental review requires a significant amount of time and timing is tight. The more fundamental the review, the more time will be needed to refine options and explain proposals and it may be that this year could be positioned as the first stage of a two or three year process.

The main bulk of the funding hinges on a set of calculations about the number of teachers a school needs – a basic calculation, with adjustments for management and leadership, Welsh medium and small school/class size protection. This is much more sophisticated than a simplistic lump sum and per pupil formula and it provides for a meaningful conversation with both politicians and school leaders over the extent to which the formula adequately funds educational provision.

However, there is also an opportunity to review the model against the LAs minimum expectations of a school and the affordability of current levels of protection.

She recommends a dialogue with Members and school leaders about minimum requirements, about the nature of the affordable educational offer in Powys (including subject offer in secondary) and about the extent to which heads and governors want a guide to the affordable number of teachers or whether they would prefer less direction.

Overall, on formula review she recommends:

- Consider the desirable simple/sophisticated balance that works for schools and the LA. A sophisticated formula can target funding really effectively if well designed and linked to policy objectives. A simple formula, with a heavy emphasis on funding per pupil ignores the fact that most costs are driven by the number of classes and some costs are fixed. The later approach works well for large schools with economies of scale and sufficient senior management and finance capacity.
- Formularise as much as possible of the small school/class size protection arrangements as possible, giving schools predictability, encouraging forward planning and reducing LA workload.
- Take steps to improve FSM numbers with less onerous registration arrangements, if Possible Remove elements of the formula with out of date descriptions, values or burdensome data collection and replace with a simple allocation which provides for local discretion.
- Ensure phase differences are justifiable.

The review of the formula requires a discussion about what can realistically be afforded, in small schools in Powys in the current climate of austerity is an important for active engagement of heads, governors and councillors.

Once clear about the key educational parameters (class size, contact ratio or PTR, subject choices, balance of the timetable between core and options (KS4), mixed year groups or not, etc.), and armed with a small number of key data points (average teacher salary,

proportion of spend on teachers, uplift in teacher numbers for deprivation), a high level curriculum led funding model could be built with relative ease.

Appendix B – Timetable

		<u>Start</u>	<u>End</u>	<u>Responsible Officer</u>	<u>Outcome</u>	<u>Additional support requirements</u>	<u>Formula Review Group Meeting Dates</u>	<u>Schools Forum / Cabinet Meetings</u>
0	Present update report clarifying timetable and plan	January	January	Anne Phillips	To ensure all key stakeholders fully aware and engaged in the process and timescales	Looking to bring in interim Finance Manager to support this project - funding available only in 2017/18		SF - 23 /1/18 Cabinet 20/2/18
1	Work up a minimum curriculum model for primary and secondary schools including dual stream schools considering feedback already given from formula review group, to include management time and workload agreement requirements	08/01/2018	23/03/2018	Schools service	Provide a proposal to support teaching, management etc.	Potential external resources needed Could be a representative from each sector?		
2	Work up a minimum management model incl. TLR structure for primary and secondary schools including dual stream schools considering feedback already given from formula review group	08/01/2018	23/03/2018	Schools service		see above	6th April	
3	Consider the funding models for teachers salary payments (Specific point/average teacher cost etc.) considering feedback already given from formula review group	08/01/2018	23/03/2018	Schools service		see above	6th April	
4	Work up and agree a methodology for funding split site schools considering feedback already given by formula review group	08/01/2018	23/03/2018	Schools Finance Manager / Schools service		see above	6th April	
5	Review class size protection policy already in place for primary schools should it remain be amalgamated into the formula, consider what protection if any should be applied to secondary schools,	08/01/2018	23/03/2018	Schools Finance Manager / Schools service		see above	6th April	
6	Cross reference to ALN and SEN review - link to the basis of distribution of LMS SEN funding	08/01/2018	23/03/2018	Schools service - Imtiaz		Imtiaz and relevant finance support	6th April	
7	Review the non-teaching elements of the formula, for Primary, Secondary and Special schools considering the feedback already given from the review group including the methodologies used by other Authority formulas	08/01/2018	23/03/2018	School Finance Manager			6th April	
8	Report to Schools Service Management Team on an monthly basis	08/01/2018	23/03/2018	School Finance Manager			6th April	19/3 Primary Update
Milestone - sign off by SMT							9th April	

9	Present proposed base model for teaching and management and the teacher salary funding basis to the review group, discuss and agree the base model/models to be costed	10/04/2018	20/07/2018	Schools Finance Manager / Schools service		Jo Thomas to take minutes	19/04/2018	SF - 12/4/18	
10	Present proposed model for funding split sites, LMS SEN and the proposals for class size and curriculum protection to the review group, discuss and agree the model/models to be costed and policies to be taken forward	10/04/2018	20/07/2018	Schools Finance Manager / Schools service		Jo Thomas to take minutes	02/05/2018		
11	Present model for funding non-teaching elements to the formula review group, discuss and agree the base model/models to be costed	10/04/2018	20/07/2018	Schools Finance Manager		Jo Thomas to take minutes	16/05/2018	21/5 Primary Update	
12	Present the costed model/models for teaching and management and the teacher salary funding basis to the formula review group, discuss and agree the model to take forward to consultation	10/04/2018	20/07/2018	Schools Finance Manager / Schools service		Jo Thomas to take minutes	06/06/2018	SF 21/6/18 - update report	
13	Present costed model/models for funding split sites, LMS SEN and the proposals for class size protection to the formula review group, discuss and agree what will be taken forward for consultation	10/04/2018	20/07/2018	Schools Finance Manager / Schools service Imtiaz		Jo Thomas to take minutes	20/06/2018		
14	Present costed models for funding non-teaching elements to the formula review group, receive feedback from the group on models, agree the base model/models to be taken forward to consultation	10/04/2018	20/07/2018	Schools Finance Manager		Jo Thomas to take minutes	04/07/2018		
15	Potential meeting date if required due to slippage					Jo Thomas to take minutes	18/07/2018		
16	Prepare consultation documentation and reports	23/07/2018	04/09/2018	Schools Finance Manager		Jo Thomas to take minutes			
Milestone - Consultation document report signed off by management team								10/09/2018	
17	Present the proposed consultations documents to schools forum for agreement			Schools Finance Manager			September - Date to be agreed		
18	Present report to Cabinet on proposed consultation for agreement and ensure Scrutiny are engaged in the process			Schools Finance Manager			09/10/2018		
19	Consult on changes to the formula	11/10/2018	22/11/2018	Schools Finance Manager					
20	Prepare report on outcomes of the consultation	30/11/2018	06/12/2018	Schools Finance Manager					
Milestone - Management Team Sign Off								10/12/2018	

21	Report results of Consultation and Finalise Proposals with review group	03/12/2018	21/12/2018	Schools Finance Manager				10/12/2018
22	Report results of Consultation and Finalised Proposals to Schools Forum for agreement	03/12/2018	21/12/2018	Schools Finance Manager			Late December - Date to be agreed	
23	Report final proposals to cabinet for approval						January 2019	
24	Run formula and send delegated budgets by 30th January							

Mae'r dudalen hon wedi'i gadael yn wag yn fwiadol



Schools Funding Formula Review

Phase 1

Research, analysis and design

Context and ambition

- All schools should be resourced sufficiently to deliver the expected curriculum, without building up a deficit
- The review will consider the current schools fair funding formula and design a new methodology for implementation from April 2019, with reasonable transitional arrangements and appropriate phasing
- The formula should be:
 - Clear and transparent
 - Objective and measurable
 - Predictable in effect
 - Without perverse incentives
 - Affordable, within the available funding envelope
 - Sustainable – educationally and financially
- Scope – funding for primary, secondary and special schools, including post 16 and ALN in mainstream, and the balance between delegated and central retained budgets

So far:

- Meetings of the Formula Review Group (combined and phase specific) to consider formula development – scope to build on feedback to date
- Information gathering from other local authorities
- Engagement with an ERW finance group to compare methodologies and output
- External review by a school finance specialist

All concluded that the process should be:

Establish base/minimum level of curriculum provision and link to ALN review – the Powys educational offer



Understand the cost drivers and the available data and develop a funding model



Consult, compare to funding envelope and agree transitional and implementation arrangements

A balancing act?

- **Simple** (efficient to calculate, easy to understand with maximum scope for delegated budget setting) vs **sophisticated** (reflecting the variations between schools and their unavoidable circumstances and providing a framework for school budget decisions)
- Protecting **small** schools (and/or provision in isolated areas) vs encouraging **growth**
- Prioritising **early** education or the **final** stage
- Effective **targeting** of resource for those pupils who need more vs the risk of underfunding **core** provision
- A **weighted** formula (divide the funding pot by the data) or an **absolute** formula (data and policy driven funding envelop)



Once consultation includes figures the outcome is broadly predictable..... So lets start by talking about teaching and learning and our ambition for children.

Fixed points? Clear policy? Regulation?



- 2025 Vision – Learning and Skills Programme and the Medium Term Financial Strategy
- Schools Transformation Policy
- Foundation Phase – class size limitations through regulations plus movement towards require Adult:Pupil ratios
- Primary, secondary and special schools – planning assumptions around class sizes
- Teacher workload considerations
- Educational outcomes and gaps in achievement for specific groups of pupils
- Clear links to Post 16 Review
- ALN Reform Act and the Powys ALN Review
- 21st Century Schools and impact on premises related expenditure, capital and revenue
- Levels of delegation and policy on free/traded services
- Current funding envelope guided by Pupil Teacher Ratio (currently Primary 28.1, Secondary 26.5)
- New Welsh curriculum and the Welsh Baccalaureate
- Welsh language requirements and expectations
- Health and Wellbeing expectations and the support for vulnerable children and their families

The key players

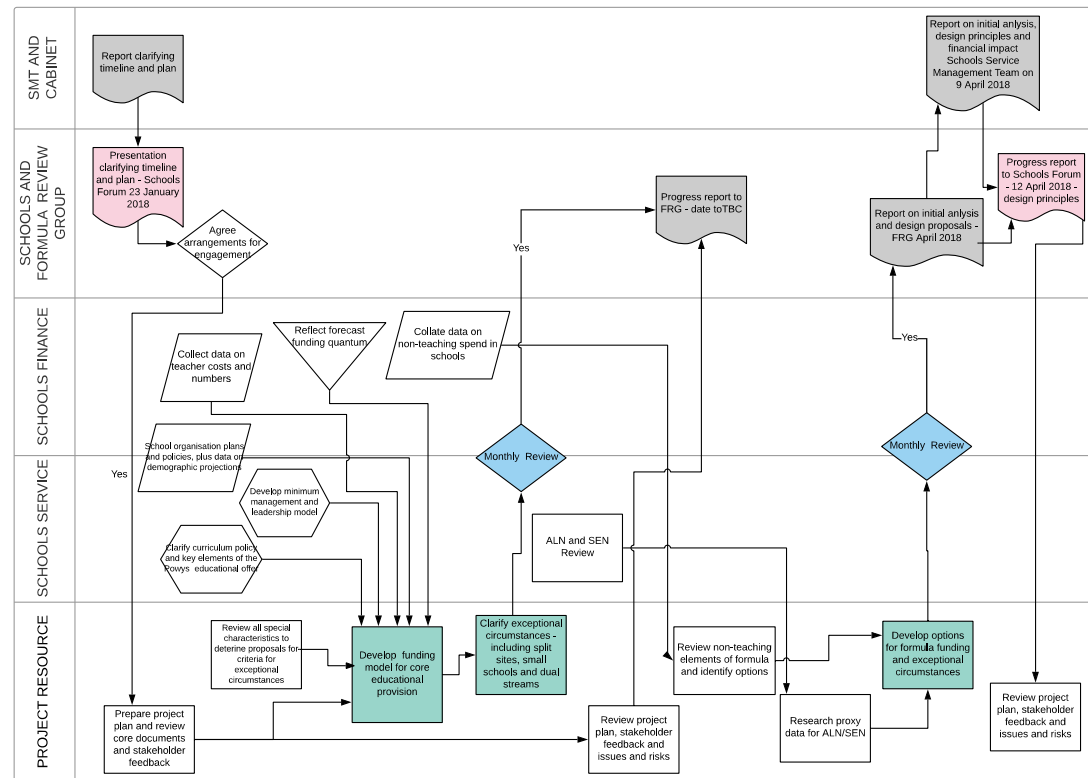
- Parents and pupils and the wider community, represented through the Youth Forum, parent representatives on Scrutiny and by Councillors, with important input on educational expectations
- Schools – headteachers, finance and business managers, staff and governors
- The Schools Service, with the links to the design of educational provision (and the role of schools in the community) in Powys schools and the nature of support for pupils with additional learning needs
- The Finance Service, ensuring that schools can manage within the budget allocated and that the methodology for funding distribution is efficient and manageable, to avoid mistakes and delays in notification of budgets

*Engagement in the debate should be on the basis of meeting the needs of **all** Powys pupils.*



FORMULA REVIEW PROJECT OUTLINE - PHASE 1 - ANALYSIS AND DESIGN

Susan Fielden | January 18, 2018



Just enough project management to keep time, budget, quality, risk and engagement in balance

The basic building blocks



**Core educational delivery
and basic running costs**

**Additional educational
need and social
deprivation**

**School
funding
formula**

**Costs relating to the site,
facilities and
establishment**

**Other factors and
adjustments**

The factors available



Factors relating to core educational delivery and basic running costs

- Number of pupils, (can be weighted by age, SEN and/or education in Welsh)
- Curriculum-related transport (actual or estimated)
- School meals or milk
- Salaries (actual or estimated) in accordance with an LA scale
- Salary safeguarding and social priority allowances
- Additional costs of meeting infant class size regulations
- Additional costs relating to limits to junior class sizes

A single/lump sum, subject to conditions but regardless of size

Factors relating to additional need

Social deprivation

- SEN factor, based on LA assessment of need
- Incidence of pupils for whom English/Welsh is not first language
- Turnover outside normal admissions
- Incidence of pupils from ethnic minorities with below average levels of achievement
- Incidence of places reserves for children with SEN
- Prior attainment

School funding formula

Factors relating to the site, facilities and establishment

- Relative size and condition of buildings and grounds
 - Rates (actual or estimated)
 - Water and sewerage (actual or estimated)
 - Energy
 - Rent of premises or facilities (actual or estimated)
 - Cleaning
 - Insurance
 - Contracts let by the LA (actual or estimated)
 - Number of pupils, (can be weighted by age)
- A single/lump sum, subject to conditions but regardless of size

Other factors and adjustments

- A single/lump sum, subject to conditions but regardless of size
- Cost of admissions met from LA budget
- Private Finance Initiative
- Split site and special facilities
- Discontinuation adjustment
- Contracts let by the LA (actual or estimated)
- Payroll costs (based on number of staff)
- Any other factor, no more than 1% of LA budget
- Effect of taxation on schools
- Incidence of NQTs
- Housing development or armed forces movements affecting school roll > 20%

In contrast, the factors available in England (as an example of a simplified system):



Core educational delivery and basic running costs

- Per pupil funding - primary, KS3 and KS4
- Primary and secondary phase fixed lump sum

Additional educational need and social deprivation

- Current FSM entitlement
- Ever6FSM - entitled during last six years
- IDACI score - six bands
- Low prior attainment
- English as an additional language

School funding formula

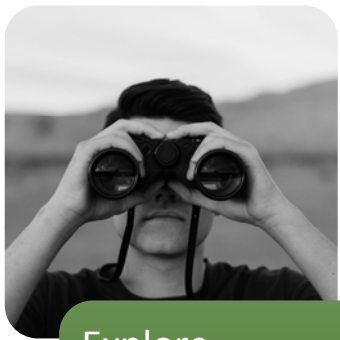
Costs relating to the site, facilities and establishment

- Rates
- Sparsity funding - for small and isolated schools
- PFI adjustment
- Split sites
- Exceptional premises circumstances

Other factors and adjustments

- High levels of pupil mobility
- Pupil growth

The main phases of the project



Explore

- Look around at what others do, clarify ambition and expectations, collect the data and be creative



Lab test

- Detailed analysis of options and data sources, supported by a small group of experts



Consult and pressure test

- Check the impact, fairness and look out for unintended consequences



Decide and implement

- with transitional support and appropriate phasing

Spring 2018

Summer 2018

Autumn 2018

Spring 2019

The vision

The aim is to develop a fair funding formula for schools that adequately resources the agreed educational offer, that provides for the extra support that disadvantaged and vulnerable pupils need, that reasonably reflects the extra unavoidable costs faced by schools that are, in one way or another, special and different, within the budget available, and then to work towards it in a manageable way.



Mae'r dudalen hon wedi'i gadael yn wag yn fwiadol

CYNGOR SIR POWYS COUNTY COUNCIL

CABINET EXECUTIVE

20 February 2018

REPORT AUTHOR: County Councillor Stephen Hayes
Portfolio Holder for Adult Social Care

SUBJECT: Increase in charges for Community Based Services

REPORT FOR: Decision

1. Summary

The maximum charge for Community Based Social Care Services is set by the Welsh Government. It is currently £70 per week, and has been since April 2017.

The maximum charge will increase to £100 per week by the end of the current Assembly Government in 2021. This increase is part of the Taking Wales Forward Commitment.

There is likely to be an incremental increase each financial year. Welsh Government plan to announce the increase prior to April annually in readiness for the start of each financial year.

This report proposes a process to agreeing the increase maximum charge in Powys annually.

2. Proposal

This report recommends that any increase to the maximum weekly charge for Community Based Social Care Services, in line with the set maximum charge set by the Welsh Government each financial year, will be at the discretion of the Cabinet Members with responsibility for Adult Social Care and Finance, in consultation with the Director of Social Services. This will be undertaken by provision of a Portfolio Holder's Decision Report. This will allow the Cabinet Members the ability to increase the charge to the maximum set by Welsh Government without the need for a report to Cabinet.

3. Options Considered / Available

Option 1

That the Cabinet Members with responsibility for Adult Social Care and Finance, in consultation with the Director of Social Services are given the discretion to set the maximum charge up to the maximum charge for Community Based Services as set by Welsh Government each financial year. This will be undertaken by provision of a Portfolio Holder's Decision Report.

Increases around unit costs for Community Based Services will still need to be agreed by Cabinet. A report will be need to be considered by Cabinet for any such increases including the full impacts.

Option 2

That a report is submitted to Cabinet prior to the start of each financial year and after Welsh Government has announced the maximum charge for Community Based Services. Cabinet will then either agree or disagree to increase the maximum charge for Community Based Services payable by the citizens of Powys

4. Preferred Choice and Reasons

The recommended option is Option 1.

In previous years, Welsh Government have made announcements regarding changes very close to the start of the financial year. This has meant Cabinet reports being prepared without adequate time for decision making.

It is likely that Cabinet Members with responsibility for Adult Social Care and Finance, in consultation with the Director of Social Services, will choose to increase the maximum charge in line with the maximum amount set by the Welsh Government. This has been the case since 2011 when the maximum charge was introduced. Allowing the Cabinet Members with responsibility for Adult Social Care and Finance, in consultation with the Director of Social Services, to implement the increase without a referral to Cabinet will streamline this process and will provide more time for service users and carers to prepare to meet the increased charges.

5. Impact Assessment

5.1 Is an impact assessment required? Yes

5.2 If yes is it attached? Yes

6. Corporate Improvement Plan

6.1 This proposal will streamline decision making within the Council and will maximise income generation in line with national policy.

7. Local Member(s)

7.1 This proposal will have the same impact across the whole County of Powys.

8. Other Front Line Services

8.1 Does the recommendation impact on other services run by the Council or on behalf of the Council? Yes

If so please provide their comments

8.2 There will be an impact on the work of the Income and Awards section which has been involved in preparing this report.

9. Communications

9.1 Have Communications seen a copy of this report? Yes

9.2 Have they made a comment? The report is of public interest and requires use of news release and appropriate social media to publicise the decision. It will be of interest a potential 42% increase in fees.

10. Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

10.1 Legal; The recommendation can be supported from a legal point of view

10.2 Finance – The Finance Business Partner notes the content of the report and supports the recommendation. It is essential that income is maximised but the impact is unknown until revised financial assessments are undertaken for all service users.

11. Scrutiny

11.1 Has this report been scrutinised?

It will be scrutinised prior to Cabinet consideration.

12. Statutory Officers

12.1 The Acting Section 151 Officer agrees that Option 1 will ensure uplifts to charging is actioned promptly after the annual announcement from Welsh Government to allow time to get assessments and revised bills updated, thus maximising income to the authority.

12.2 The Solicitor to the Council (Monitoring Officer) has commented as follows: "I note the legal comment and have nothing to add to the report".

13. Members' Interests

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
To allow option 1	Income of the Council is optimised

Relevant Policy (ies):	The Social Services and Wellbeing Act 2014
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Within Policy:	Y	Within Budget:	Y
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Relevant Local Member(s):	Councillor Stephen Hayes
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Person(s) To Implement Decision:	Head of Transformation
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Date By When Decision To Be Implemented:	1st April 2018
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Contact Officer:	Katie Morgan
Tel:	01639 846532
Email:	Katie.morgan@powys.gov.uk

Cyngor Sir Powys County Council

Impact Assessment (IA)

The integrated approach to support effective decision making



This **Impact Assessment (IA)** toolkit incorporating Welsh Language, Equalities, Well-being of Future Generations Act, Sustainable Development Principles, Communication and Engagement, Safeguarding, Corporate Parenting, Community Cohesion and Risk Management supporting effective decision making and ensuring compliance with respective legislation. **Please read the accompanying guidance before completing the form.**

Service Area	Adult Services Commissioning	Head of Service	Dylan Owen	Strategic Director	Phil Evans	Portfolio Holder	Cllr Stephen Hayes
Policy / Change Objective / Budget Saving	Increase in charges for Community Based Services						
Outline Summary							
This report considers the proposed process to agreeing the increase in the maximum amount payable for community care services in line with Welsh Government guidance.							

1. Version Control (services should consider the impact assessment early in the development process and continually evaluate)

Version	Author	Job Title	Date
001	Dylan Owen	Strategic Commissioning Manager (Older People)	15 January 2018

2. How does your policy / change objective / budget saving impact on the council's strategic vision?

Council Priority	How does the policy / change objective impact on this priority?	Inherent Judgement Please select from drop down box below	What will be done to better contribute to positive or mitigate any negative impacts?	Residual Judgement Please select from drop down box below	Source of Outline Evidence to support judgement
Supporting people in the community to live fulfilled lives	To those affected financially this could be detrimental as they will pay more for services. Decision made by portfolio holder and Director of Social Services, rather than full Cabinet.	Neutral	Charging policy and legislation determines charge but impact on social care users will vary. Where required reviews to be undertaken of the individuals affected to consider alternative solutions, which could include telecare and maximising financial entitlements.	Neutral	
Developing the economy	N/A	Good	N/A	Good	

Cyngor Sir Powys County Council

Impact Assessment (IA)

The integrated approach to support effective decision making



Improving learner outcomes for all, minimising disadvantage	N/A	Good	N/A	Good	
Remodelling council services to respond to reduced funding	These proposals will increase the amount of income to Adult Social Care.	Good	Work will be required to ensure the best use of the income and aligning the income with the service area	Good	

3. How does your policy / change objective / budget saving impact on the Welsh Assembly's well-being goals?

Well-being Goal	How does the policy / change objective contribute this goal?	Inherent Judgement Please select from drop down box below	What will be done to better contribute to positive or mitigate any negative impacts?	Residual Judgement Please select from drop down box below	Source of Outline Evidence to support judgement
A prosperous Wales: An innovative, productive and low carbon society which recognises the limits of the global environment and therefore uses resources efficiently and proportionately (including acting on climate change); and which develops a skilled and well-educated population in an economy which generates wealth and provides employment opportunities, allowing people to take advantage of the wealth generated through securing decent work.	These proposals will generate greater income for the Council and Adult Social Care which will enable better targeting of resources and to make better use of them.	Good	Work will be required to ensure the best use of the income and aligning the income with the service area.	Good	
A resilient Wales: A nation which maintains and enhances a biodiverse natural environment with healthy functioning ecosystems that support social, economic and ecological resilience and the capacity to adapt to change (for example climate change).	The increased income will support greater resilience for Adult Social Care services in order to increase service capacity.	Good		Good	
A healthier Wales: A society in which people's physical and mental well-being is maximised and in which choices and behaviours that benefit future health are understood.	The proposals are on services for supporting individuals with their physical and mental well-being. Respecting a service users preferences and promoting positive behaviour are central to the service.	Good	As appropriate, reviews to be undertaken of the individuals affected to consider alternative solutions, which could include telecare and maximising financial entitlements.	Good	
A Wales of cohesive communities: Attractive, viable, safe and well-connected Communities.	N/A	Neutral	N/A	Neutral	

Cyngor Sir Powys County Council

Impact Assessment (IA)

The integrated approach to support effective decision making



A globally responsible Wales: A nation which, when doing anything to improve the economic, social, environmental and cultural well-being of Wales, takes account of whether doing such a thing may make a positive contribution to global well-being.	N/A	Neutral	N/A	Neutral	
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A Wales of vibrant culture and thriving Welsh language: A society that promotes and protects culture, heritage and the Welsh language, and which encourages people to participate in the arts, and sports and recreation.					
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Tudalen 61

<i>Opportunities for persons to use the Welsh language</i>	N/A	Neutral	N/A	Neutral	
<i>Treating the Welsh language no less favourable than the English language</i>	N/A	Neutral	N/A	Neutral	
<i>Opportunities to promote the Welsh language</i>	N/A	Neutral	N/A	Neutral	
<i>Welsh Language impact on staff</i>	N/A	Neutral	N/A	Neutral	
<i>People are encouraged to do sport, art and recreation.</i>	N/A	Neutral	N/A	Neutral	

A more equal Wales: A society that enables people to fulfil their potential no matter what their background or circumstances (including their socio economic background and circumstances).					
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<i>Age</i>	A high proportion of those affected by these proposals will be older people.	Poor	As appropriate, reviews to be undertaken of the individuals affected to consider alternative solutions, which could include telecare and maximising financial entitlements.	Neutral	
<i>Disability</i>	The service areas considered by the proposals are for people who have disabilities or are frail. These proposals will have an impact on those who depend upon such services.	Poor	As appropriate, reviews to be undertaken of the individuals affected to consider alternative solutions, which could include telecare and maximising financial entitlements.	Neutral	
<i>Gender reassignment</i>	N/A	Unknown	N/A	Unknown	

Cyngor Sir Powys County Council

Impact Assessment (IA)

The integrated approach to support effective decision making



Marriage or civil partnership	N/A	Neutral	N/A	Neutral	
Race	N/A	Neutral	N/A	Neutral	
Religion or belief	N/A	Neutral	N/A	Neutral	
Sex	N/A	Neutral	N/A	Neutral	
Sexual Orientation	N/A	Neutral	N/A	Neutral	
Pregnancy and Maternity	N/A	Neutral	N/A	Neutral	
Equality Impact on PCC Staff	N/A	Neutral	N/A	Good	

Tudalen 62

How does your policy / change objective / budget saving impact on the council's other key guiding principles?

Principle	How does the policy / change objective impact on this principle?	Inherent Judgement Please select from drop down box below	What will be done to better contribute to positive or mitigate any negative impacts?	Residual Judgement Please select from drop down box below	Source of Outline Evidence to support judgement
Sustainable Development Principle					
Long Term: The importance of balancing short-term needs with the need to safeguard the ability to also meet long-term needs.	These proposals will maximise the potential income for Adult Social Care, thus making the service more sustainable in the long term.	Good	Work will be required to ensure the best use of the income and aligning the income with the service area	Neutral	
Collaboration: Acting in collaboration with any other person (or different parts of the body itself) that could help the body to meet its well-being objectives.	N/A	Neutral	N/A	Neutral	

Cyngor Sir Powys County Council

Impact Assessment (IA)

The integrated approach to support effective decision making



Tudalen 63

<p>Involvement: The importance of involving people with an interest in achieving the well-being goals, and ensuring that those people reflect the diversity of the area which the body serves.</p> <p>Communication and Engagement</p>	N/A	Neutral	N/A	Neutral	
<p>Prevention: How acting to prevent problems occurring or getting worse may help public bodies meet their objectives.</p>	N/A	Neutral	N/A	Neutral	
<p>Integration: Considering how the public body's well-being objectives may impact upon each of the well-being goals, on their other objectives, or on the objectives of other public bodies.</p>	N/A	Neutral	N/A	Neutral	
<p>Preventing Poverty: Prevention, including helping people into work and mitigating the impact of poverty.</p>	From a service users' perspective an increase in the maximum charge could potentially impact their financial circumstances. A broader view of the person's whole circumstances from a Social Care perspective will help to understand any potential impact	Poor	From a financial assessment perspective a minimum income amount is always allowable when a financial assessment is undertaken. This minimum income amount should ensure some prevention of poverty. Service users will be supported by social care staff to ensure that they are receiving the appropriate welfare benefits.	Neutral	
<p>Safeguarding: Preventing and responding to abuse and neglect of children, young people and adults with health and social care needs who can't protect themselves.</p>	Individuals could refuse services and, therefore, have less monitoring.	Poor	Options of telecare via individual reviews could lead to 24/7 ongoing monitoring and support, mitigating risks.	Neutral	
<p>Corporate Parenting: Enabling our looked after children to fulfil their potential.</p>	N/A	Neutral		Neutral	

Cyngor Sir Powys County Council

Impact Assessment (IA)

The integrated approach to support effective decision making



5. Achievability of Policy / Change Objective / Budget Saving?

Impact on Service / Council	Deliverability of Policy / Change Objective / Budget Saving	Inherent Risk
High	High	Low

6. What are the risks to service delivery or the council following implementation of this Policy / Change Objective / Budget Saving?

Description of risks			
Individuals decline service provision resulting in their wellbeing being compromised. The impact of this will vary dependent upon individuals' circumstances.			
Judgement (to be included in service risk register)			
Very High Risk	High Risk	Medium Risk	Low Risk
			✓
Mitigating Actions			Residual Risk
As appropriate, reviews to be undertaken of the individuals affected to consider alternative solutions, which could include telecare and maximising financial entitlements. Options of telecare via individual reviews could lead to 24/7 ongoing monitoring and support, mitigating risks.			Low
Does the Policy / Change Objective / Budget Saving have potential to impact on another service area?			
Income and Awards			

7. Overall Summary and Judgement of this Impact Assessment?

Outline Assessment (to be inserted in cabinet report)	Cabinet Report Reference:
The impact of this proposed change should enable positive decisions for the service, with varied impact upon residents who receive domiciliary care support and/or attend day care. The amount the Council can charge is capped and the proposal is to enable decisions to base this on the amount allowed by the Welsh Government Guidance.	

8. Is there additional evidence to support the Impact Assessment (IA)?

What additional evidence and data has informed the development of your proposal?
No

9. On-going monitoring arrangements?

What arrangements will be put in place to monitor the impact over time?
Routine social care reviews will be undertaken in line with current policy.

Please state when this Impact Assessment will be reviewed.

12 months.

10. Sign Off

		Signature	Date
Head of Service:	Dylan Owen	Huw Dylan Owen	15 January 2018
Strategic Director:	Phil Evans		
Portfolio Holder:	Cllr Stephen Hayes		

FORM ENDS

Mae'r dudalen hon wedi'i gadael yn wag yn fwiadol

Delegated Decision List

24 January	Portfolio Holder for Finance	Determined applications for charitable and hardship rate relief.
26 January	Portfolio Holder for Housing and Countryside Services	Letting of the tenancy of Pen y Gelli, Adfa
1 February	Portfolio Holder for Highways and Portfolio Holder for Property & Waste	Approved the purchase of property in Llandrindod Wells for a proposed transport interchange.
2 February	Portfolio Holder for Housing and Countryside Services	Letting of the tenancy of Fronhowey, Painscastle

Mae'r dudalen hon wedi'i gadael yn wag yn fwiadol

Cabinet Date	Cabinet/Mana Title	Portfolio Holder	Lead	Description
28/02/18	06/02/18 Public Conveniences	Cllr Liam Fitzpatrick	Alastair Knox	Continue funding and / or move to Freehold transfer - delegated decision
28/02/18	Redesign of the Trade Waste Service	Cllr Phyl Davies	Ashley Collins	delegated decision
28/02/18	Strategic Salt Storage	Cllr Liam Fitzpatrick	Alastair Knox	Portfolio holder decision
28/02/18	Delegation of Authority NTSEAT	Cllr Rosemarie Harris	James Munro	Delegated decision
28/02/18	Enforcement Policy NTSEAT	Cllr Jonathan Wilkinson	James Munro	Delegated decision
28/02/18	Fixed penalty notices for fly tipping	Cllr Jonathan Wilkinson	Nia Hughes	delegated decision
13/03/18	27/02/18 Residential care fee setting arrangement	Cllr Stephen Hayes	Lee Anderson	
13/03/18	27/02/18 Children's Services Safeguarding report	Cllr Rachel Powell	David Johnston	
13/03/18	Update from the Anti-Poverty Champion		Cllr Joy Jones	
13/03/18	27/02/18 Office Accommodation - North Powys Review	cllr Phyl Davies	Natasha Morgan	
13/03/18	27/02/18 Budget Outturn Report	Cllr Aled Davies	Jane Thomas	
13/03/18	27/02/18 Capital Programme Update	Cllr Aled Davies	Jane Thomas	
13/03/18	27/02/18 Review of Farms Policy	Cllr Jonathan Wilkinson	Natasha Morgan	
13/03/18	27/02/18 Draft ICT Strategy	Cllr James Evans	Andrew Durant	
13/03/18	27/02/18 Area Plan & Health and Care Strategy	Cllr Stephen Hayes	Dylan Owen	
13/03/18	27/02/18 HIW Inspection report on Substance Misuse	Cllr Stephen Hayes	Dylan Owen	
13/03/18	27/02/18 CSSIW Adult Social Care Inspection Report	Cllr Stephen Hayes	Dylan Owen	

		Schools Policy - Plans for Powys Schools & Forward Transformation Work Programme	Cllr Myfanwy Alexander	Ian Budd	
13/03/18	27/02/18	Delegation of Highways functions	Cllr Rosemarie Harris	Wyn Richards	
13/03/18	27/02/18	Childcare - implementation of 30 hours free care	Cllr Myfanwy Alexander	Gareth Jones	
27/03/18		Residential Care Pooled Budget	Cllr Stephen Hayes	Dylan Owen	
30/03/18		Animal Health Torfaen	Cllr Jonathan Wilkinson	Clive Jones	Delegated decision
31/03/18		Moelfre City	Cllr Liam Fitzpatrick	Alastair Knox	delegated decision
		Adoption of Additional Land Drainage Bye-laws	Cllr Liam Fitzpatrick	Alastair Knox	To consider adopting bye-laws
10/04/18	20/03/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas	
10/04/18	20/03/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas	
10/04/18	20/03/18	Integrated Teams Evaluation & Plan	Cllr Stephen Hayes	Dylan Owen	
10/04/18	20/03/18	Residential Care Homes - Onwership and Administration	Cllr Stephen Hayes	Dylan Owen	
10/04/18	20/03/18	Director of Social Services Annual Report	Cllr Stephen Hayes	Dylan Owen	
10/04/18	20/03/18	Home to School Transport (pre- consultation)	Cllr Myfanwy Alexander	Gareth Jones	
10/04/18	20/03/18	Mid Powys Welsh medium Primary Review	Cllr Myfanwy Alexander	Marianne Evans Heather	
10/04/18	20/03/18	Well Being Plan	Cllr Rosemarie Harris	Delonnette	
10/04/18	20/03/18	Llanfair Caereinion Footbridge	Cllr Liam Fitzpatrick	Alastair Knox	
10/04/18	20/03/18	Highways Capital Programme 2018/19	Cllr Liam Fitzpatrick	Alastair Knox	
10/04/18	20/03/18	Strategy to measure and reduce radon levels in HRA homes	Cllr Jonathan Wilkinson	Simon Inkson	

10/04/18	20/03/18	Corporate Improvement Plan	Cllr Rosemarie Harris	Peter Jones	
10/04/18	20/03/18	Schools Service Major Improvements programme 2018-2023	Cllr Myfanwy Alexander	David Thompson	
10/04/18	20/03/18	SER Estyn	Cllr Myfanwy Alexander	Ian Budd	
10/04/18	27/03/18	Mid Powys Welsh medium Primary Review	Cllr Myfanwy Alexander	Marianne Evans	
10/04/18		LDP - Out of Examination	Cllr Martin Weale	Peter Morris	delegated decision
10/04/18	20/03/18	Closed landfill insurance	Cllr Jonathan Wilkinson	Nia Hughes	
10/04/18	20/03/18	Trewern Depot	Cllr Phyl Davies	Natasha Morgan	
10/04/18	20/03/18	Mid and West Wales VAWDASV Strategy		Duncan Kerr	
30/04/18		Child burial fees	Cllr Jonathan Wilkinson	Nia Hughes	delegated decision
01/05/18	17/04/18	Treasury Management Report for Quarter 4	Cllr Aled Davies	Ann Owen	
01/05/18	17/04/18	Local Development Plan	Martin Weale	Peter Morris	
01/05/18	17/04/18	Collaborative Working Policy	Cllr Myfanwy Alexander	Gareth Jones	
01/05/18		Food Hygiene Rating System Audit by FSA	Cllr Jonathan Wilkinson	Beverley Cadwallader	delegated decision
22/05/18	08/05/18	Sustainable Drainage Approval Body	Cllr Liam Fitzpatrick	Alastair Knox	Statutory new function currently out for consultation
22/05/18	08/05/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas	
22/05/18	08/05/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas	
22/05/18	08/05/18	21st Century Schools Programme Band B - Funding Options	Cllr Myfanwy Alexander	Marianne Evans	
19/06/18		Update from the Anti-Poverty Champion		Joy Jones	

19/06/18	05/06/18	Rights of Way Improvement Plan	Cllr Jonathan Wilkinson	Mark Stafford-Tolley
19/06/18	05/06/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas
19/06/18	05/06/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas
19/06/18	05/06/18	Adult Social Care Strategies	Cllr Stephen Hayes	Dylan Owen
19/06/18	05/06/18	Regional Partnership Board Annual Report	Cllr Stephen Hayes	Dylan Owen
19/06/18	05/06/18	School Budgets	Cllr Myfanwy Alexander	Anne Phillips
10/07/18	26/06/18	Treasury Management Review 2017/18	Cllr Aled Davies	Ann Owen
10/07/18	26/06/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas
10/07/18	26/06/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas
10/07/18	26/06/18	Update on Operation Jasmine	Cllr Stephen Hayes	Dylan Owen
10/07/18	26/06/18	Home to School Transport (post-consultation)	Cllr Myfanwy Alexander	Gareth Jones
10/07/18	26/06/18	Schools Budgets	Cllr Myfanwy Alexander	Ian Budd
10/07/18	26/06/18	ALN Transformation revised delivery model	Cllr Myfanwy Alexander	Imtiaz Bhatti
10/07/18	26/06/18	Post 16 Review	Cllr Myfanwy Alexander	Marianne Evans
10/07/18	26/06/18	Flood Risk Management Plan	Cllr Liam Fitzpatrick	Alastair Knox
10/07/18	26/06/18	Workforce Strategy	Cllr Myfanwy Alexander	Ian Budd
10/07/18	26/06/18	Schools Service Asset Management Plan	Cllr Myfanwy Alexander	Gareth Jones
10/07/18	26/06/18	Safeguarding Compliance	Cllr Myfanwy Alexander	Imtiaz Bhatti
10/07/18	26/06/18	Annual Estyn Inspection Outcomes	Cllr Myfanwy Alexander	

10/07/18	26/06/18	Skills & Employability Strategy	Cllr Myfanwy Alexander	Marianne Evans
10/07/18	26/06/18	Corporate Safeguarding 6 monthly update	Cllr Rachel Powell	Emma Palmer
10/07/18	26/06/18	Implications for building control	Cllr Martin Weale	Ian Maddox
18/09/18	04/09/18	Treasury Management Report for Quarter 1 2017/18	Cllr Aled Davies	Ann Owen
18/09/18		Update from the Anti-Poverty Champion		Joy Jones
18/09/18	04/09/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas
18/09/18	04/09/18	Review of 3rd Sector Social Care Grants	Cllr Stephen Hayes	Dylan Owen
18/09/18	04/09/18	Fair Funding Review and Scheme for Financing Schools (pre-consultation)	Cllr Myfanwy Alexander	Ian Budd
18/09/18	04/09/18	Highways Asset Management Plan	Cllr Liam Fitzpatrick	Alastair Knox
28/09/18		Highways Winter Plan	Cllr Liam Fitzpatrick	Shaun James Delegated Decision
09/10/18	25/09/18	WHQS Completion	Cllr Jonathan Wilkinson	Simon Inkson
09/10/18	25/09/18	Cemeteries Review	Cllr Jonathan Wilkinson	Nia Hughes
09/10/18	25/09/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas
09/10/18	25/09/18	Schools Service Asset Management Plan	Cllr Myfanwy Alexander	Ian Budd
09/10/18	25/09/18	Schools Budgets update	Cllr Myfanwy Alexander	Ian Budd
06/11/18	23/10/18	Treasury Management Report for Quarter 2 2017/18	Cllr Aled Davies	Ann Owen
06/11/18	23/10/18	Homelessness Strategy	Cllr Jonathan Wilkinson	Simon Inkson
06/11/18	23/10/18	Annual Estyn Inspection Outcomes	Cllr Myfanwy Alexander	Ian Budd

06/11/18	16/10/18	Fair Funding Review & Scheme for Financing Schools (post-consultation)	Cllr Myfanwy Alexander	Anne Phillips	
28/11/18	13/11/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas	
28/11/18	13/11/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas	
28/11/18	13/11/18	Newtown Bypass De-trunking	Cllr Liam Fitzpatrick	Shaun James	
28/11/18	13/11/18	ALN Strategic Review - Progress Report	Cllr Myfanwy Alexander	Imtiaz Bhatti	
30/11/18		Traffic Regulation Orders	Cllr Liam Fitzpatrick	Tony Caine	delegated decision
18/12/18		Update from the Anti-Poverty Champion		Cllr Joy Jones	
18/12/18	04/12/18	Budget Outturn Report	Cllr Aled Davies	Jane Thomas	
18/12/18	04/12/18	Capital Programme Update	Cllr Aled Davies	Jane Thomas	
18/12/18	04/12/18	Review of Day Time Activities for Older People	Cllr Stephen Hayes	Dylan Owen	
18/12/18	04/12/18	Corporate Safeguarding 6 monthly update	Cllr Rachel Powell	Emma Palmer	
15/01/19	08/01/19	Joint Dementia Action Plan	Cllr Stephen Hayes	Dylan Owen	
05/02/19	22/01/19	Toilet Strategies	Cllr Liam Fitzpatrick	Alastair Knox	As required by the Public Health (wales) Act 2017

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